## FED STATES PATENT AND TRADEMARK OFFICE

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

**APPLICANT:** 

Hazel Shackleton et al.

ATTORNEY DOCKET NO.:

H0004263-5601US (P02,0500)

**SERIAL NO.:** 

10/692,112

CONFIRMATION NO.: 6575

FILING DATE:

October 23, 2003

**GROUP ART UNIT: 2644** 

**INVENTION:** 

"METHOD AND APPARATUS FOR A HIERARCHICAL OBJECT

MODEL-BASED CONSTRAINED LANGUAGE INTERPRETER-

PARSER"

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

The patents and/or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR § § 1.56, 1.97, and 1.98. As of the date of mailing this Information Disclosure Statement, a first Office Action on the merits has not been received in connection with this application, and therefore, this Information Disclosure Statement is in compliance with 37 C.F.R. §1.97(b)(3), and no fee is necessary. The undersigned counsel certifies, moreover, that each item contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement, as required by 37 C.F.R. §1.97(e). In the event that this Information Disclosure Statement crosses in the mail with a first Office Action, therefore, this Information Disclosure Statement satisfies 37 C.F.R. (c)(1), and still no fee is necessary. In accordance with 37 C.F.R. 1.98(a), no copies of the U.S. documents are being supplied herewith. Copy of the publication noted on

the Form-1449 is enclosed herewith. Also, enclosed is a copy of the International Search Report.

The Commissioner is hereby authorized to charge any additional fees that may be required, or to credit any overpayment, to account No. 501519.

An early and favorable action on the merits is respectfully requested.

Respectfully subtritted,

Trevør B. Joike (Reg. No. 25,542)

Patent Department Schiff Hardin LLP 6600 Sears Tower

Chicago, Illinois 60606 Telephone: 312-258-5774

**CUSTOMER 000128** 

ATTORNEY FOR APPLICANT

## **CERTIFICATE OF MAILING**

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PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO				Complete if Known		
				Application Number	10/ 692,112	
IN	IFORMATION I	DISCI	OSURE	Filing Date	October 23, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Hazel Shackleton et al.	
	(11			Art Unit	2654	
	(Use as many sheet	s as nec	cessary)	Examiner Name	R. Dorvil	
Sheet	1	of	2	Attorney Docket Number	H0004263-5601US (P02,0500)	

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA		12-01-1998	Victor A. Riley et al.		
	AB	5,687,384	11-11-1997	Nagase		
	AC	6,523,172 B1	02-18-2003	Martinez-Guerra et al.		
	AD	6,138,272	10-24-2000	Tonouchi		
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		FOREIGN	N PATENT DOCUM	MENTS		
Examiner Initials	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	-
	No.1	County Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document		T°
	AS	EP 0 836 144 A2	04-15-1998			
	AT	WO 02/103555 A2	12-27-2002		-	
	AU					
	AV					

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT			LICANT	First Named Inventor	Hazel Shackleton et al.	
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Sheet	2	Of	2	Attorney Docket Number	H0004263-5601US (P02,0500)	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>		
	AW	Sarter, "The Flight Management System – Pilots' Interaction with Cockpit Automation - , Institute of Electrical and Electronics Engineers, Decision Aiding for Complex Systems, Proceedings of the International Conference on Systems, Man and Cybernetics, New York, IEEE, US Vol. 2, October 13, 1991, pgs. 1307-1310.			
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